

KYC Questionnaire for Natural Persons

■ *Information about the Client*

Name(s) and surname:
Personal number or another unique sequence of symbols, assigned to this person for the identification purposes (if any):
Date of birth:
Citizenship or the state which has issued the personal identification document:
The country of residence for tax purposes ¹ :

■ *Information on Client's place of residence and contact details*

Country:
City:
Address of place of residence:
Mobile phone number:

¹ Please note that Tax regulations require us to collect information regarding the account holder's tax residency. We may be required to share this information with the relevant tax authorities.

E-mail address:

■ **Information on Client's the Client's occupation and origin of funds**

Occupation:

Employed person

If you have ticked this item, please specify:

Name of the workplace: _____

Position: _____

City and Country of workplace: _____

Self-employed (under a certificate, business certificate, etc.) or own business

If you have ticked this item, choose the field of activity:

- Provision of legal services, advocate's practice, notary activity
- Organization and running of lotteries, gambling
- Activities in connection with ferrous, non-ferrous and precious (rare) metals, precious stones, jewelry, works of art
- Trade in vehicles
- Trade in real estate
- Financial statement audit activity
- Personal healthcare
- Organization and execution of auctions
- Organization of tourism and travels
- Wholesale trade in alcoholic beverages and other alcohol products, tobacco products
- Trade in oil products
- Pharmaceutical activity
- Other (specify) _____

Pensioner

Student / schoolchild

Unemployed

Source of funds:

Please specify the origin of funds you are being transferred to us. Several options available

- Salary
- Savings
- Pension / social benefits
- Inheritance
- Self-employment (including the remuneration of authors / from sports activities)

- Real estate sold
- Securities / dividends
- Revenues from family members / close relatives
- Loans / borrowed funds
- Winnings (lotteries / betting / gaming)
- Scholarship

What is the purpose of the account?

■ **Financial details**

Countries from which the funds will be received and transferred:

Which currencies would you like to have available for payments?

Expected monthly incomes in the account:

- < EUR 5,000
- EUR 5,001 - 15,000
- EUR 15,001 - 50,000
- > EUR 50,000

Expected monthly transfers from the account:

- < EUR 5,000
- EUR 5,001 - 15,000
- EUR 15,001 - 50,000
- > EUR 50,000

■ **Information on the political exposure**

Please specify whether you are a politically exposed person or not:

I am a politically exposed person

I am a close family member of a politically exposed person

I am a close associate of a politically exposed person

If you are a close family member or close associate of a politically exposed person, please specify the politically exposed person: _____

I do hereby confirm that the information provided in this questionnaire is correct and that I undertake to inform about any changes to the information provided. I undertake to use the services provided for legitimate purposes only and understand that upon establishment that the information provided in this questionnaire is inaccurate or false, this may be communicated to the law enforcement agencies of the Republic of Lithuania and business relationship may be terminated unilaterally.

Questionnaire filling date: _____

Name, surname of the Client: _____

■ **Definitions**

Beneficial Owner is a natural person on whose behalf a transaction or activity is carried out.

Politically Exposed Person is a natural person who is or has been entrusted with a prominent public functions⁵ and such person's close family members or close associates.

Close Family Member spouse, person with whom partnership has been registered, parents, siblings, children and children's spouses, persons with whom children have registered partnership.

Close Associate - a natural person who, together with a person who performs or has performed prominent public functions⁴, are the members of the same legal entity or an organization which does not have a status of a legal entity or maintains other business relations or a natural person who is the only beneficial owner of legal entity or an organization which does not have a status of a legal entity established or operating de facto with a view to receive economic gain or other personal benefits for a person who performs or has performed prominent public functions.

Prominent Public Functions: functions in the Republic of Lithuania, European Union, international or foreign state institutions: (i) the Head of the State, the Head of the Government, a minister, a vice-minister or a deputy minister, the State Secretary, the Chancellor of the Parliament or the Government or a ministry; (ii) a member of the Parliament; (iii) a member on the supreme courts, the constitutional courts or any other supreme judicial authority whose decisions are not subject to appeal; (iv) the mayor of a municipality, the head of a municipal administration; (v) a member of the management body of the supreme national audit and control body or the chairman, deputy chairman, or member of the board of a central bank; (vi) an ambassador, a chargé d'affaires ad interim, special envoy and authorized minister or a high-ranking officer of the armed forces; (vii) a member of a managing or supervisory body of a state-owned entity, public limited liability company, private limited liability company where the state owns shares or a proportion of shares entitling it to more than 1/2 of all votes at a general meeting of shareholders of said entities; (viii) a member of a managing or supervisory body of a municipal entity, public limited liability company, private limited liability company where the municipality owns shares or a proportion of shares entitling it to more than 1/2 of all votes at a general meeting of shareholders of said entities and which are considered as large companies under the Law on Financial Statements of Undertakings of the Republic of Lithuania; (ix) the head or deputy head or member of a managing or supervisory body of an international intergovernmental organization; (x) the head, deputy head, or member of a managing body of a political party.